

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
D-156

In Re Application Of: **MICHAEL D. BRADFIELD ET AL.**

Serial No.
NYA

Filing Date
11/14/2003

Examiner
NYA

Group Art Unit
NYA

Title: **TWIN COIL CLAW POLE ROTOR WITH DUAL INTERNAL FAN CONFIGURATION FOR ELECTRICAL MACHINE**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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ELECTRICAL MACHINE**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
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Dated: November 14, 2003

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) D-156		Application Number NYA	
				Applicant(s) MICHAEL D. BRADFIELD ET AL.			
				Filing Date November 14, 2003		Group Art Unit NYA	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	4,201,930	05/06/1980	Inagaki et al.	310	51	07/07/1978
	2	US 6,509,660 B1	01/21/2003	Asao	310	63	11/14/2000
	3	US 2003/0006667 A1	01/09/2003	Buening et al.	310	257	07/06/2001
	4	US 2003/0057789 A1	03/27/2003	Buening et al.	310	179	09/21/2001
	5	US 2003/0107287 A1	06/12/2003	Nishimura et al.	310	180	12/04/2002

FOREIGN PATENT DOCUMENTS								
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation		
						YES	NO	

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.